



## SecureVest Financial Group's Privacy Policy Protects Clients' Private Information

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Securities firms like ours, and other financial institutions, are required to notify clients about their privacy policies. We at SecureVest Financial Group are happy to do this, because we have a longstanding policy of protecting your personal financial information from disclosure to non-affiliated parties. As described in more detail below, we use the information you provide us for the sole purpose of assisting you in financial affairs; we do not sell your private information to others.

### Privacy Policy of SecureVest Financial Group, Inc.

It is the policy of SecureVest Financial Group, Inc. to maintain the security and confidentiality of all client information. We do not sell, nor make available, personally identifiable customer information to non-affiliated parties, except for the limited circumstances described in the following paragraph. This policy covers all types of personally identifiable customer information, including personal and financial information provided by clients at the time they open accounts, ongoing transactional activity within accounts, and other personal or financial data furnished to SecureVest Financial Group, Inc. by clients in the course of the account relationship. These policies apply to all of our individual and institutional clients.

As permitted by law and in order to service your account(s) with our firm, SecureVest Financial Group does provide limited personally identifiable customer information described above to certain third party service providers such as SecureVest Financial Group's clearing agents; the transfer and dividend disbursing agent for mutual funds and affiliates with whom we have a marketing agreement for the performance of marketing services on our behalf. In each case, we have received assurance from the third party that its respective policies are in accord with our own. We may disclose or report personally identifiable customer information in limited circumstances where we believe in good faith that disclosure is required or permitted by law, for example, to cooperate with regulators or law enforcement authorities, to respond to garnishment complaints and executions, resolve client disputes, perform credit or authentication checks, or for institutional risk control.

If you choose to access the areas of our Web site that are designed exclusively for account holders, you are required to provide an individually selected password. Your password is your key to access your account information. You are responsible for maintaining the confidentiality of your password. You may change your password, and are encouraged to do so at regular intervals to further protect the confidentiality of your non-public personal information. If you share your password with anyone, that other person will gain complete access to your account and related personal information.

SecureVest Financial Group, Inc. collects non-public personal information about its clients from the following sources:

- a.) Account applications;
- b.) Information related to transactions for the account of clients; and
- c.) Information received from ancillary sources, such as consumer reporting agencies, in the course of providing financial services to clients.

The categories of information we collect include personal vital statistics, Social Security numbers and personal financial data about our clients' financial circumstances and objectives.

We restrict access to non-public personal information about you to those employees who need to know that information to provide products or services to you. We have developed and maintain physical, electronic, and procedural safeguards to guard your non-public personal information.